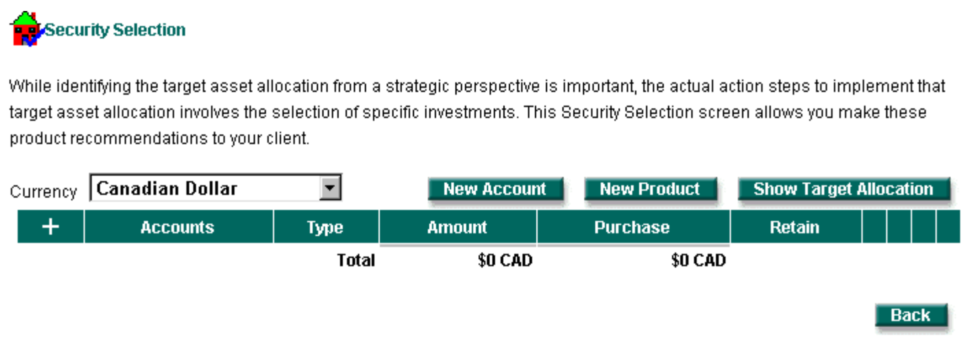
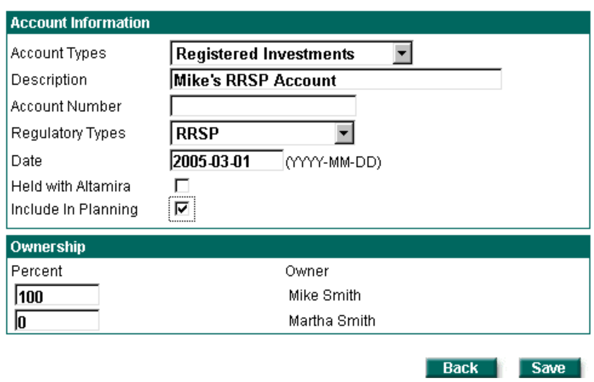
WEB ADVISOR SECURITY SELECTION

If you elect to use the Security Selection feature you'll be able to select specific securities to fulfill the client's asset allocation target.

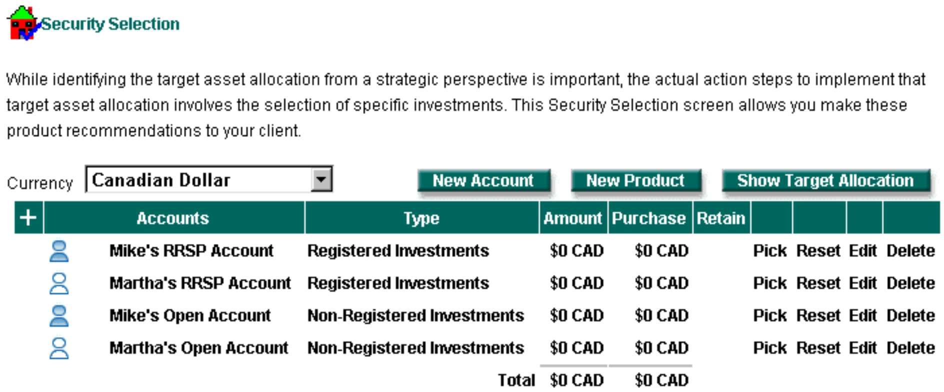
On entry to this screen, for a client where summary data entry was done, you'll be presented with clear slate to set up accounts and choose securities.



Once on this screen your first task will be to set up the necessary accounts where securities need to be purchased. You do this using the "New Account" button. Here's an example of a new account set up screen:



For most clients you'll have to set up 3 or 4 accounts. Here's a look at the Security Selection screen after the 4 accounts I needed have been set up.



Security Selection

While identifying the target asset allocation from a strategic perspective is important, the actual action steps to implement that target asset allocation involves the selection of specific investments. This Security Selection screen allows you make these product recommendations to your client.

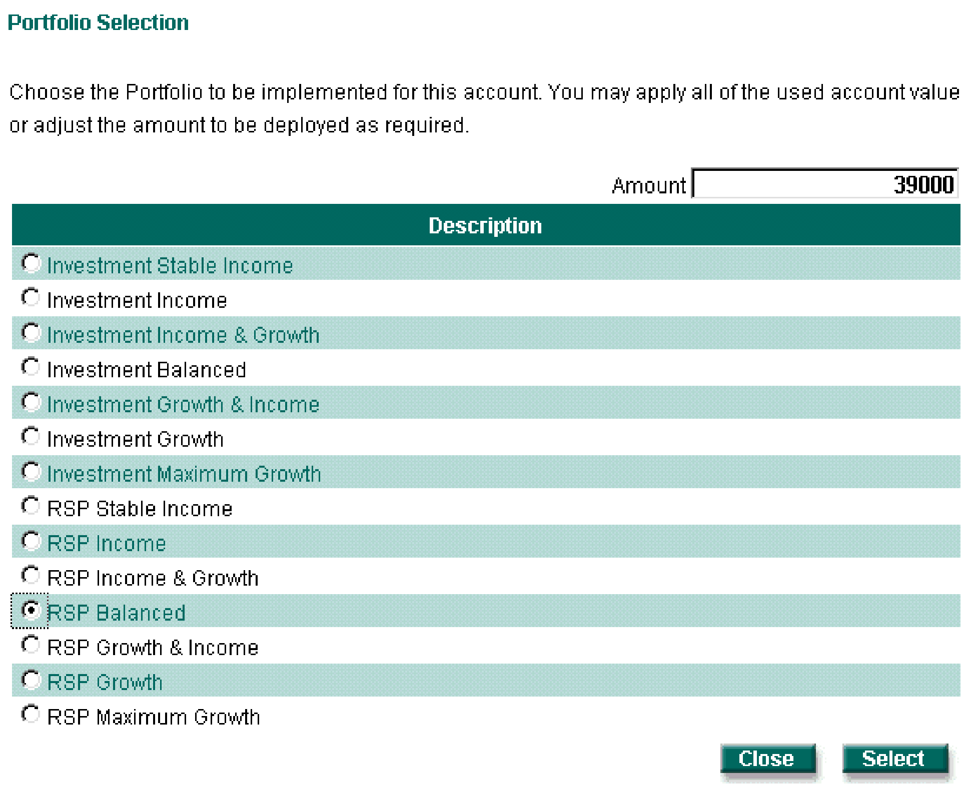
Currency: Canadian Dollar | New Account | New Product | Show Target Allocation

+	Accounts	Type	Amount	Purchase	Retain				
	Mike's RRSP Account	Registered Investments	$0 CAD	$0 CAD		Pick	Reset	Edit	Delete
	Martha's RRSP Account	Registered Investments	$0 CAD	$0 CAD		Pick	Reset	Edit	Delete
	Mike's Open Account	Non-Registered Investments	$0 CAD	$0 CAD		Pick	Reset	Edit	Delete
	Martha's Open Account	Non-Registered Investments	$0 CAD	$0 CAD		Pick	Reset	Edit	Delete
		Total	$0 CAD	$0 CAD					

Once your required accounts have been set up (based on the holdings the clients have). You are ready to choose the securities that will be deployed in each account. This is done using two methods.

Method #1: Picking a Portfolio from Pre Defined Choices

You'll notice that there is a column with the word "Pick" in it. If you click on this link, you'll be presented with a listing of standard portfolios that have been set up for you. Here's an example:



Portfolio Selection

Choose the Portfolio to be implemented for this account. You may apply all of the used account value or adjust the amount to be deployed as required.

Amount: 39000

Description
Investment Stable Income
Investment Income
Investment Income & Growth
Investment Balanced
Investment Growth & Income
Investment Growth
Investment Maximum Growth
RSP Stable Income
RSP Income
RSP Income & Growth
RSP Balanced
RSP Growth & Income
RSP Growth
RSP Maximum Growth

Close | Select

When this screen opens you'll be able to identify the amount of money you want to deploy into a particular portfolio, in this case $39,000, as this is how much Mike has in his RRSP portfolio. You'll also select, using the radio button, the portfolio you wish to use. Mike's risk profile said his target portfolio should be "Balanced" so we chose RSP Balanced. When you have finished, click on the "Select" button. This will then deploy the funds you identified into the fund of funds you have selected. Here's an example of what the result looks like:

Currency Canadian Dollar | New Account | New Product | Show Target Allocation

+ Accounts	Type	Amount	Purchase	Retain				
Mike's RRSP Account	Registered Investments	$0 CAD	$39,000 CAD		Pick	Reset	Edit	Delete
	Altamira Income	$0 CAD	$19,500 CAD				Edit	Delete
	Altamira Equity	$0 CAD	$3,120 CAD				Edit	Delete
	Altamira Canadian Value	$0 CAD	$4,680 CAD				Edit	Delete
	Altamira Precision Canadian Index	$0 CAD	$3,120 CAD				Edit	Delete
	Altamira US Larger Company	$0 CAD	$2,340 CAD				Edit	Delete
	Altamira Asia Pacific	$0 CAD	$1,560 CAD				Edit	Delete
	Altamira Precision European RSP Ind	$0 CAD	$2,340 CAD				Edit	Delete
	Altamira Global Value	$0 CAD	$2,340 CAD				Edit	Delete

You would then go through this process for each of the accounts you have created until you have identified how all the funds are to be deployed.

The selections you make will be part of your IPS document in the implementation section of the document. If you do not do the Security Selection process the Implementation Schedule will be excluded from the document.

Method #2: Picking Individual Securities

You also have the option to choose individual securities as opposed to a managed portfolio of funds. This is done by using the "New Product" button.

With this method, the "New Product" button will take you to a product selection engine where you can search for the funds you want to deploy. You will chose these one at a time and identify the account where the security is to be deployed. Here's an example of the security selection screen where I've searched for all funds starting with "Altamira I" since I wanted to find the Altamira Income Fund. I would then select the fund I want using the radio button and then click on "Select".

Product Selection

Search

Product Name | Company Name | Product Code | Class

Type All | Recommended | Favourites

Altamira i | Search

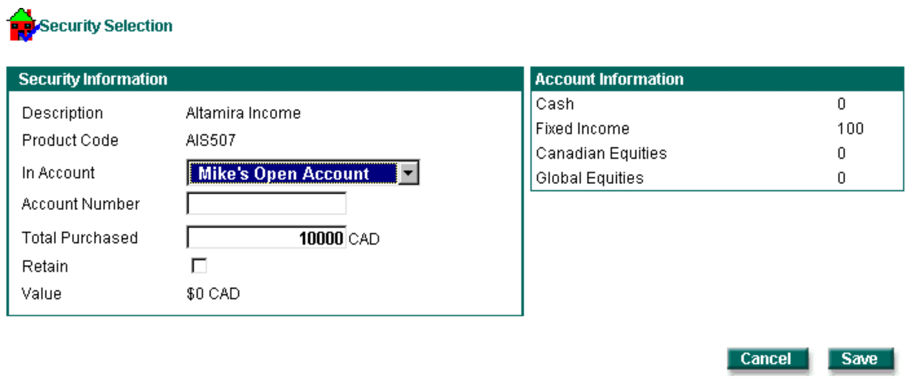
Displaying 1 - 3 of 3

Update Favourites

Description	Price	Currency	Product Code	Class	Favourites
Altamira Income (Altamira Investment Services Inc.)	$8.22	CAD	AIS507	Fixed Income	☑
Altamira Inflation-Adjusted Bond Fund - Series A (Altamira Investment Services Inc.)	$9.85	CAD	AIS248	Fixed Income	☑
Altamira Inflation-Adjusted Bond Fund - Series I (Altamira Investment Services Inc.)	$9.84	CAD	AIS 948	Fixed Income	☑

Cancel | Select

When I have selected the product I want, I'll be presented with this screen where I'll use the drop down menu to identify which of my portfolios I want this fund to be deployed in and how much is to be purchased. In this example, I'm putting $10,000 in Mike's Open Account.



You would select the funds you want for all accounts and then you are finished. Always watch your totals for each account and the grand total at the bottom of the screen to ensure you have deployed the correct amount in each account and across all accounts.

NOTE: You may also choose to use a combination of Method 1 and Method 2. For example, you might have a client with $100,000 in their RRSP account and you want to deploy $75,000 into a managed portfolio and want to deploy the remaining $25,000 into specific funds. You could use the "Pick" option and identify $75,000 for the portfolio you choose and then add individual funds using method 2 to that account for any individual funds you want to add in.